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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/523,102	03/10/2000	Erwin Si	03654.0255

Andrew S Brenc  
Arnold & Porter  
555 12th Street N W  
Washington, DC 20004

## FORMALITIES LETTER



\*OC000000005111411\*

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1764.
  - \$828 for 46 total claims over 20.
  - \$936 for 12 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2584.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

file://C:\Apps\PreExam\correspondence\1\_B.xml

03/16/2001 EEKUBAY1 00000071 012510 09523102  
01 FC:101 710.00 00 00  
02 FC:103 828.00 00 00  
03 FC:102 960.00 00 00  
04 FC:105 86.00 00 00  
44.00 CH

3/9/01



**ARNOLD & PORTER**

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March 15, 2001

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#3

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***Box Missing Parts***

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Application No. 09/523,102  
Filed: March 10, 2000  
Title: Methods and Compositions for Treating and  
Preventing Retinal Neovascularization  
Inventors: Si *et al.*  
Atty. Docket: 13587(03654. 255.US00)

Sir:

The following documents are forwarded herewith for appropriate action in the above captioned application by the U.S. Patent and Trademark Office:

1. a response to the Notice to File Missing Parts;
2. a copy of the Notice to File Missing Parts;
3. an executed Declaration;
4. a Change of Correspondence Address, form PTO/SB/122(10-22);
5. check number 200337 in the amount of \$2584 covering the \$690 dollars to complete the basic filing fee, \$1764 in additional claim fees and the \$130 fee for filing a declaration later than the date of the application, and
6. a return post card.

Assistant Commissioner for Patents  
March 15, 2001  
Page 2

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 01-2510.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Andrew S. Brenc". The signature is fluid and cursive, with the first name "Andrew" and last name "Brenc" clearly distinguishable.

Joel M. Freed (Reg. No. 25,101)  
Andrew S. Brenc (Reg. No. 45,534)

Enclosures



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Si *et al.*

Serial No.: 09/523,102

Filed: March 10, 2000

For: Methods and Compositions for Treating  
and Preventing Retinal Neovascularization

Group Art Unit: 1651

Examiner: To be assigned.

Docket No.: 13587(03654. 255.US00)

**Response to Notice to File Missing Parts of Nonprovisional Application**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated March 09, 2001 applicants submit an executed declaration and fees in the amount of \$2584. Applicants respectfully submit that the application is complete upon receipt of these materials.

Should any questions regarding this application arise, the Examiner is encouraged to contact Applicant's undersigned representative at 202-942-5000.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 01-2510.

Respectfully submitted,

Joel M. Freed (Reg. No. 25,101)  
Andrew S. Brenc (Reg. No. 45,534)

Date: March 15, 2001

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